# **COPY OF PAPERS**

ORIGINALLY FILED se type a plus sign (+) inside this box ---> Modified PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Derwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADE **Application Number** 10/010,774 TRANSMITTAL **Filing Date** November 13, 2001 **FORM First Named Inventor** Baoqing YE (to be used for all correspondence after initial filing) Group Art Unit 2635 **Examiner Name** Not yet assigned Total Number of Pages in This Submission Attorney Docket Number Verizon-18 **ENCLOSURES** (check all that apply) **Assignment Papers** After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Postcard Receipt Extension of Time Request Address Other Enclosure(s) (please Terminal Disclaimer identify below): **Express Abandonment Request** Declaration and Power of Attorney and Request for Refund Assignment Recordation form. Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Michael P. Straub (Reg. No. 36,941) Individual name Signature Muchael Date March 7, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 7, 2002 Michael P. Straub Typed or printed name March 7, 2002 Signature Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



(\$) 170.00

Modified PTO/SB/17 (11-00)
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TAL AMOUNT OF PAYMENT

| Complete if Known Application Number 10/010,774 |                     |  |  |  |
|---|---------------------|--|--|--|
|   |                     |  |  |  |
| First Named Inventor                            | Inventor Baoqing YE |  |  |  |
| Examiner Name                                   | Not yet assigned    |  |  |  |
| Group Art Unit                                  | 2635                |  |  |  |
| Attorney Docket No.                             | Verizon-18          |  |  |  |

| METHOD OF PAYMENT   | FEE CALCULATION (continued)  |          |  |  |  |
|---|--|----------|--|--|--|
| 1. X The Commissioner is hereby authorized to:  | 3. ADDITIONAL FEES   |          |  |  |  |
| Deposit 50-1049   | Large Small<br>Entity Entity   |          |  |  |  |
| Account Number SU-1049  | Fee Fee Fee Fee Pescription  | Fee Paid |  |  |  |
| Deposit<br>Account Straub & Pokotylo  | Code (\$) Code (\$)  105 130 205 65 Surcharge - late filing fee or oath          | 130.00   |  |  |  |
| Name Charge any deficiency or credit any  | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet             |          |  |  |  |
| overpayment  Applicant claims small entity status.  | 139 130 139 130 Non-English specification  |          |  |  |  |
| See 37 CFR 1.27  2. A Payment Enclosed:   | 147 2,520 147 2,520 For filing a request for ex parte reexamination              |          |  |  |  |
| 2. X Payment Enclosed:  Check Credit card Order Other   | 112 920* 112 920* Requesting publication of SIR prior to<br>Examiner action      |          |  |  |  |
| FEE CALCULATION   | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action        |          |  |  |  |
| 1. BASIC FILING FEE   | 115 110 215 55 Extension for reply within first month                            |          |  |  |  |
| Large Entity Small Entity   | 116 400 216 200 Extension for reply within second month                          |          |  |  |  |
| Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid  | 117 920 217 460 Extension for reply within third month                           |          |  |  |  |
| 101 740 201 370 Utility filing fee  | 118 1,440 218 720 Extension for reply within fourth month                        | ļ        |  |  |  |
| 106 330 206 165 Design filing fee   | 128 1,960 228 980 Extension for reply within fifth month                         |          |  |  |  |
| 107 510 207 255 Plant filing fee  | 119 320 219 160 Notice of Appeal   |          |  |  |  |
| 108 740 208 370 Reissue filing fee  | 120 320 220 160 Filing a brief in support of an appeal                           |          |  |  |  |
| 114 160 214 80 Provisional filing fee   | 121 280 221 140 Request for oral hearing   |          |  |  |  |
| 0.1770741 (1) [10] 12   | 138 1,510 138 1,510 Petition to institute a public use proceeding                |          |  |  |  |
| SUBTOTAL(1) (\$) 00.00  | 140 110 240 55 Petition to revive - unavoidable                                  |          |  |  |  |
| 2. EXTRA CLAIM FEES   | 141 1,280 241 640 Petition to revive - unintentional                             |          |  |  |  |
| Extra Claims below Fee Paid   | 142 1,280 242 640 Utility issue fee (or reissue)                                 |          |  |  |  |
| Total Claims -20** = X = I  | 143 460 243 230 Design issue fee   | <u> </u> |  |  |  |
| Claims  | 144 620 244 310 Plant issue fee  | ļ        |  |  |  |
| Multiple Dependent  | 122 130 122 130 Petitions to the Commissioner                                    |          |  |  |  |
|   | 123 50 123 50 Processing fee under 37 CFR 1.17(q)                                |          |  |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description   | 126 180 126 180 Submission of Information Disclosure                             |          |  |  |  |
| Code (\$) Code (\$)   | 581 40 581 40 Recording each patent assignment per                               | 40.00    |  |  |  |
| 103 18 203 9 Claims in excess of 20   | property (times number of properties)  | 10.00    |  |  |  |
| 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid                 | 146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))    |          |  |  |  |
| 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 **Reissue independent claims over original patent | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) |          |  |  |  |
| **Reissue claims in excess of 20  | 179 740 279 370 Request for Continued Examination (RCE)                          |          |  |  |  |
| and over original patent  | 169 900 169 900 Request for expedited examination                                |          |  |  |  |
| (6) 00 00   | of a design application  |          |  |  |  |
| SUBTOTAL (2) (\$) 00.00   | Other fee (specify)  |          |  |  |  |
| **or number previously paid, if greater; For Reissues, see above  | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170                          | .00      |  |  |  |

| SUBMITTED BY Complete (if applicable) |                   |                                      |        |           |                |
|---------------------------------------|-------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type)                     | Michael P. Straub | Registration No.<br>(Attorney/Agent) | 36,941 | Telephone | (732) 335-1222 |
| Signature                             | Michael Estrant   |                                      |        | Date      | March 7, 2002  |

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APPLICATION NUMBER

STRAUB & POKOTYLO
1 BETHANY ROAD, SUITE 83

26479

BUILDING 6 HAZLET, NJ 07730 FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/010,774

11/13/2001

Baoqing Ye

Verizon-18

CONFIRMATION NO. 2755

FORMALITIES LETTER

OC000000007301532\*

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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3/21/2002 JADDO1 00000052 10010774

FC:105